



**IN THE UNITED STATES PATENT & TRADEMARK OFFICE**

Re: Appl. No. : 10/798,225  
Applicant : Anand R. BAICHWAL  
Filed : March 11, 2004  
TC/A.U. : 1615  
Examiner : To Be Assigned  
Docket No. : 540.91195C3CON2

July 26, 2004

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**SUPPLEMENTAL PRELIMINARY AMENDMENT**

Sir:

Please amend the above-identified application as follows:

**Amendments** to claims are reflected in the listing of claims which begins on page 2 of this paper.

**Remarks** begin on page 9 of this paper.

COMMISSIONER FOR PATENTS  
Alexandria, VA 22313-1450



Docket No.: 540.91195C3CON2

Date: July 26, 2004

In re application of: Anand R. BAICHWAL  
Serial No.: 10/798,225  
Filed: March 11, 2004  
For: **SUSTAINED RELEASE HETERODISPERSE HYDROGEL SYSTEMS FOR INSOLUBLE DRUGS**

Sir:

Transmitted herewith is a **Supplemental Preliminary Amendment** in the above-identified application.

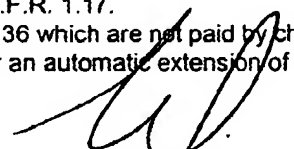
- ☐ Small entity status under 37 C.F.R. 1.9 and 1.27 has been previously established.  
☐ Applicants assert small entity status under 37 C.F.R. 1.9 and 1.27.  
☒ No fee for additional claims is required.  
☐ A filing fee for additional claims calculated as shown below, is required:

(Col. 1)		(Col. 2)		SMALL ENTITY		OR	LARGE ENTITY	
FOR:	REMAINING	HIGHEST		RATE	FEE		RATE	FEE
	AFTER	PREVIOUSLY	PRESENT					
	AMENDMENT	PAID FOR	EXTRA					
TOTAL CLAIMS	21 Minus** 21 =		0	x \$ 91\$			x \$ 181\$	
INDEP. CLAIMS	10 Minus***10 =		0	x \$ 421\$			x \$ 861\$	
<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEP. CLAIM				+ \$ 1401\$			+ \$ 2801\$	

TOTAL: \$ OR TOTAL: \$

- \* If the entry in Co. 1 is less than the entry in Col. 2, write "0" in Col. 3.  
 \*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.  
 \*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space.

- ☐ Also transmitted herewith are:  
☐ Petition for extension under 37 C.F.R. 1.136 (in duplicate)  
☐ Other: /
- ☐ Check(s) in the amount of \$00.00 is/are attached to cover:  
☐ Filing fee for additional claims under 37 C.F.R. 1.16  
☐ Petition fee for extension under 37 C.F.R. 1.136  
☐ Other:
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-0552.
- ☐ Any filing fee under 37 C.F.R. 1.16 for the presentation of additional claims which are not paid by check submitted herewith.
- ☐ Any patent application processing fees under 37 C.F.R. 1.17.
- ☐ Any petition fees for extension under 37 C.F.R. 1.136 which are not paid by check submitted herewith, and it is hereby requested that this be a petition for an automatic extension of time under 37 CFR 1.136.

  
 Cary S. Kappel, Reg. No. 36,561  
 DAVIDSON, DAVIDSON & KAPPEL, LLC  
 485 Seventh Avenue, 14<sup>th</sup> Floor  
 New York, New York 10018  
 Tel: (212) 736-1940  
 Fax: (212) 736-2427

I hereby certify that this correspondence and/or documents referred to as attached therein and/or fee are being deposited with sufficient postage to the United States Postal Service as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" on

July 28, 2004

DAVIDSON, DAVIDSON &amp; KAPPEL, LLC

Y: 